

# **Updates to the Cultural Resources Toolkit Marine Protected Areas Federal Advisory Committee November 2018**

The following document is an update to the Cultural Resources Toolkit initially developed by the Marine Protected Areas Federal Advisory Committee, through the leadership of the Cultural Heritage Resources Working Group, in 2013. That version of the toolkit was posted online at:

<https://marineprotectedareas.noaa.gov/toolkit/>

This update was produced by the Cultural Heritage Resources Working Group of the Marine Protected Areas Federal Advisory Committee and approved by the Committee in November 2018. It aims to provide background and tools on cultural resource management for MPA managers. These pages were designed to be used in an interactive web format, not as a linear report. Case studies referenced in this document that are not included here have not been updated since the 2013 version. The Committee recommends that this updated toolkit be posted online in replacement of the earlier version, and that the Departments of Commerce and the Interior conduct outreach to share this resource with MPA managers in the U.S. and internationally.

# What are Cultural Resources?

Below are examples of cultural resources that may be found in an MPA. These categories are not mutually exclusive and may overlap. Resources may be nautical (related to ships, vessels and watercraft), maritime (related to human seafaring) or cultural (related to cultural identity, such as religious, food and burial practices). A [cultural landscape approach](#) is a useful framework for understanding how cultural and environmental resources overlap and influence one another.

## Maritime cultural resources

- Shipwrecks (e.g. *USS Monitor*) and sunken boats, large and small
- Airplanes and other wrecks of craft in the marine environment (e.g. the airship *USS Macon* and spacecraft artifacts off Cape Canaveral)
- Submerged land vehicles (e.g. amphibious vehicles, tanks, trucks)
- Inundated, sunken or eroding coastal structures such as lighthouses, forts, coastal defense structures, life saving stations, buildings, ports, and towns (e.g., sunken city of Port Royal, Jamaica)
- Submerged portions of docks and wharves
- Bridges
- Stories and history about a place or group's maritime connection to the sea
- Landings used by ferries and boats

## Indigenous cultural resources

- Traditional fish ponds (e.g. in Hawaii)
- Submerged cultural sites (e.g. occupation sites of indigenous ancestors, middens)
- Sunken/buried indigenous watercraft (e.g., canoes, log boats)
- Fishing weirs / fish traps
- Sites exposed on actively eroding shorelines such as habitations, burials
- Sites with importance religious or spiritual values (e.g. Papahānaumokuākea)
- Living marine resources with important cultural values (e.g. salmon and shellfish in the Pacific Northwest, coral in Papahānaumokuākea and Dugong in Japan)
- Stories and history about the tribe or group and its connection to the sea

Associated land-based resources that may be part of a cultural landscape:

- Coastal forts
- Ports and harbors
- Lighthouses and other navigational aids
- Docks / warehouses / port facilities

- Fish processing plants
- Shell and trash middens
- Whaling stations

# A Cultural Landscape Approach



Caption: Lighthouse - Half Moon Bay, CA

## One Place – Many Values



Caption: Sea turtle at Dry Tortugas

Often, sites selected as MPAs have been important to people for decades, centuries, or even millennia. The biological richness or other location attributes made them essential to different cultures and many

stakeholder groups. Cultural heritage and resources reflect long-standing and contemporary human connections and uses of marine areas. In revealing our common dependence on marine places, cultural heritage also reveals individual connections to specific cultures, peoples, and spaces. The integration of cultural and natural heritage creates opportunities to recognize and value cultural and social differences, while embracing a broad shared vision of stewardship for the marine environment.

## Meeting Obligations

Identifying and protecting particular kinds of cultural resources is a legal obligation as well as an agency mandate. Meeting these obligations depends on many factors and is subject to different interpretations by individuals, organizations, and courts. The managers of MPAs are the front line stewards for special places held in trust for the public good. Charged with maximizing the protective and social benefits of MPAs, managers of marine cultural and natural heritage resources deal with complex technical, ethical, and moral questions and challenges. **Creating Opportunities**

Integrating cultural heritage provides dynamic opportunities for improving MPA outcomes. The Cultural Landscape Approach that provides the ethical and intellectual foundations for this toolkit offers fundamental principles that can guide MPA planning and management throughout the United States and its protectorate areas. Cultural heritage provides a well-tested means for expanding community engagement. Using a Cultural Landscape Approach to link biophysical science with historical, ethnohistorical, ethnographic, archaeological, place-based traditional and stakeholder knowledge and insights offers a clear path toward successful ecosystem-based management and broad-based community stewardship.

## An Evolving Discipline

Despite origins that date back to the 1970s, the field of Maritime Cultural Landscapes is still an emerging one. Maritime Cultural Landscapes effectively apply the CLA to marine environments and maritime cultures.

Read more about a [Cultural Landscape Approach in the National MPA System](#).

Read more about a [Maritime Cultural Landscape approach](#).

# Integrating Cultural Resources into MPA Management



Caption: Submerged cultural resources and the natural environment are intimately related, as evident between the striped snapper and this WWII amphibious landing craft.

At the most basic level, cultural heritage resources within MPAs consist of those tangible and intangible resources that connect us to the environment. Shipwrecks are a primary example of these heritage resources, for vessels of many shapes and sizes are the ubiquitous platform for human experience on the water. The interpretation of wreck sites reveals much about the activities of past seafarers, their ports of call, their cargos, and the nautical technology of the vessel itself. But consider the seascape of the sailor; it's more than just the ship. Anchorages, wharves, navigational aids, lighthouses, channels, harbors and port facilities all capture elements of the past, the remains of which can often be found underwater. And consider the more direct relationship of mariners from the longer pre-industrial age. Canoe construction areas, bays and landings, navigation landmarks, traditional fishing and gathering locations, and the customary knowledge of these places are all intangible elements which preserve the same cultural heritage, even though that knowledge is not focused on a physical property per se. All of these tangible and intangible assets or resources are elements of the maritime cultural landscape. When [perceived and](#) understood, they [can](#) speak of important past and present human activities, [and](#) connections to the marine environment that maintain our cultural identity and life-ways.

## Opportunities and Obligations



Caption: Marine areas may be significant sites of traditional practices such as canoe launching areas.

Maintaining healthy coastal and marine ecosystems requires a fundamental understanding of the relationships between people and the environment. Adopting a cultural landscape approach can help managers achieve this understanding, as well as engaging new audiences in support of marine conservation goals. A cultural landscape is a place where the intersection of culture and nature leaves a distinct ecological or cultural imprint. A cultural landscape approach is an analytical framework for understanding the ways in which specific cultural and environmental processes overlap and influence one another. In many ways, a cultural landscape approach is analogous to ecosystem-based management – it is a holistic way of looking at places, people and how they form and change one another.

Many protected area managers lack training and knowledge of cultural resource management. As a result, these resources [are](#) sometimes [either](#) neglected or [are](#) managed by cultural resource experts separately from other MPA resources. Moreover, traditional approaches to the management of cultural resources, such as shipwrecks and archeological sites, typically studied and managed these sites individually. A cultural landscape approach can help identify ecological and cultural connections among different sites, resources and protected areas over time.

## Methods and Approaches

This section will help you understand how to identify cultural resources within your MPA, and how to integrate these resources into your MPA management plan. Managers do not have to "reinvent the

wheel" in order to protect important cultural heritage resources, for more sites every year are gaining experience in cultural resource management. Here are seven basic steps in initiating cultural resource management in your MPA.

**1. Develop the important cultural landscape contexts for your MPA, being sure to include the human and ecological history of your site.**

The Cultural Landscape Approach provides the necessary contextual background to fully understand the local cultural setting and be able to identify important heritage resources at your site. Cultural Landscapes are the intersection of both human activities and environment, so information from both the natural/ecological and cultural/historical perspectives must be included. For further guidance see: [Cultural Landscape Approach](#)

**2. Identify the principal types of cultural resources likely to be in your MPA.**

What types of cultural resources exist in my site? Every site possesses a variety of tangible and intangible cultural resources, important touchstones and waypoints connected to human history, which are of significance to multiple groups. See What are Cultural Resources for a list of examples of types of cultural resources.

**3. Identify contemporary stakeholders and constituents with important heritage connections to associated CHR within your MPA.**

Cultural resource management is not just about the site or artifact itself, but focuses instead on the human cultural connections that exist in, or are expressed through, your MPA. Creating a positive working relationship with those individuals and groups who have inherent cultural connections to your MPA may be the single most important step a successful site manager can take. For further guidance see: [Engagement Outreach & Interpretation](#)

**4. Identify local tribes and indigenous peoples with connections to your MPA.**

In general, many tribal and indigenous people have been intensely engaged with marine cultural resources for many generations, and may already benefit from established traditional forms of resource management. For further guidance see: [Tribal and Indigenous Communities](#)

**5. Identify the primary statutory responsibilities for cultural resource management and protection within your MPA.**

As a manager, you are responsible for understanding and implementing federal and state heritage preservation mandates and other laws that address cultural resources. Furthermore, state and federal agencies have specific obligations to many indigenous and tribal groups. For further guidance see: [Legal Authorities](#)

**6. Identify available in-house or collaborative capacity for cultural resource management and recognition.**

Most MPA managers face capacity and budget constraints and so are often "moving towards" rather than "completing" conservation and preservation goals. It is important to seek partners and shared capacity in this specialized field of maritime cultural resource management. Several excellent programs and organizations can provide specific training and assistance. Local educational institutions and

societies likely have contextual information. Seeking local researchers and others in this exciting field is a great way to engage the public in your MPA.

### **7. Incorporate cultural heritage resources management and preservation into your MPA management plan.**

With the proper background information, identification of resources, capacity and collaborating partnerships, and an understanding of your MPA's legal responsibilities, you are now in the position to make cultural resource management and preservation a valued part of your formal site management plan. Like any other site resource, this will entail understanding the potential benefits of public outreach and education, the fundamentals of data collection and monitoring and evaluation, handling of potentially sensitive information, and the potential (or real) threats to the resource, such as human impacts and environmental forces. While specific cultural resource strategies and activities may be consolidated in an individual chapter or sub-plan, the cultural landscape approach highlights the fact that cultural influences are part of every management decision for MPAs.



Caption: Viewsheds often have cultural significance. The National Historic Site of Pu'ukoholā Heiau (temple) on the Island of Hawai'i overlooks Hale o Kapuni (submerged) in Pelekane Bay, a site once dedicated to sharks.

**Table 1: Authorities Applicable to Activities that Directly Affect Cultural Resources in U.S. Lands/Waters and in The Area/High Seas**

Authority	Provisions and Constraints Relevant to Cultural Heritage	Waters (U.N. Law of the Sea Convention)	U.S. Internal Waters (<0 nm baseline) (e.g., bays, estuaries)	U.S. State Waters (0 - 3 nm)*	U.S. Territorial Sea (0 - 12 nm)*	U.S. Contiguous Zone (12 - 24 nm)	U.S. EEZ (3 - 200 nm)	High Seas (beyond 200 nm EEZ)
		Submerged Lands (seabed)	U.S. State Submerged Lands (inland)	U.S. State Submerged Lands (0 - 3 nm)*	U.S. OCS (3 - 12 nm)*	U.S. OCS (3 - 200 nm)*	U.S. OCS (3 - 200 nm)*	"The Area" (beyond OCS and EEZ)
<p><b>* U.S. state waters and submerged lands typically extend seaward to 3 nm of their coastline, with the exception of Texas, Puerto Rico, and the Gulf Coast of Florida, which extend to 9 nm. A state's coastline and corresponding seaward boundary are generally ambulatory; however, the seaward limit can be fixed by U.S. Supreme Court decree.</b></p>								
	<ul style="list-style-type: none"> <li>Repatriation Act of 1990 (25 USC 3001 <i>et seq.</i>).</li> <li>Disposition of artifacts recovered from Indian lands is subject to the consent of the Indian landowner.</li> <li>Establishes criminal and civil penalties imposed on violators.</li> <li>Provides authority to withhold confidential information when deemed necessary to protect sites.</li> <li>Explicitly does not apply to the OCS (see "public lands" definition)</li> </ul>							outside the U.S. are then illicitly trafficked within the U.S.
<p><a href="#">National Park Service Organic Act of 1916 (54 USC 100101 <i>et seq.</i>, formerly 16 USC 1 <i>et seq.</i>)</a></p> <p>36 CFR Parts 1, 2, 3, 7</p> <p>Cultural Resource Management Guideline, NPS-28</p>	<ul style="list-style-type: none"> <li>Establishes the national park system for federal designation and management of lands and waters to conserve scenery, natural and historic objects, and wildlife, and to provide for the enjoyment of those resources in a manner that leaves them unimpaired for the enjoyment of future generations.</li> <li>About 40% of the 391 units of the national park system contain submerged lands with bottomlands typically owned by either the Federal or State Government.</li> <li>At least 62 park units contain SCR and at least another 45 units may contain SCR.</li> <li>About 59 park units contain state submerged land and another 32 units may contain state submerged land.</li> </ul>	Applies to cultural resources in national park units located in internal waters	Applies to cultural resources in national park units located in state waters	Not applicable	(there are no national park units in the Territorial Sea)	(there are no national park units in the Contiguous Zone)	(there are no national park units in the EEZ)	Not applicable outside the EEZ.
<p><a href="#">Presidential Statement on United States Policy for the Protection of Sunken Warships (Jan. 19, 2001)</a></p> <p><a href="#">Department of State Notice 4614: Protection of Sunken Warships, Military Aircraft and Other Sunken Government Property (69 FR 5647-5648) (includes policies of other nations)</a></p> <p><a href="#">Sunken Military Craft Act (10 U.S.C. § 113 <i>et seq.</i>)</a></p>	<ul style="list-style-type: none"> <li>Each nation has its own laws and rules relating to its sunken warships and other sovereign vessels.</li> <li>Typically, explicit procedures must be followed for a nation to formally abandon its sunken warships and other sovereign vessels or transfer title to another party.</li> <li>Under customary international law and U.S. Government policy, such vessels are considered to remain the property of the sovereign to which it belonged at the time of sinking regardless of its location or the passage of time since it sank.</li> <li>U.S. policy is clarified in the Presidential Statement issued on January 19, 2001.</li> <li>France, Germany, Japan, the Russian Federation, Spain, and the United Kingdom have advised the U.S. Government of their policies on this matter (see Department of State Public Notice 4614 issued on February 5, 2004).</li> <li>The <a href="#">Sunken Military Craft Act</a> (SMCA)</li> </ul>	<p>Applies to sunken State craft of the US and other nations wherever located, including internal waters and submerged lands</p> <p>The SMCA applies to US and foreign sunken military craft located in U.S. waters, including internal waters and associated submerged lands</p>	<p>Applies to sunken State craft of the US and other nations wherever located, including in the Territorial Sea and associated submerged lands</p> <p>The SMCA applies to US and foreign sunken military craft located in U.S. waters, including State waters and associated submerged lands</p>	<p>Applies to sunken State craft of the US and other nations wherever located, including in the Territorial Sea and the associated Outer Continental Shelf</p> <p>The SMCA applies to US and foreign craft located in U.S. waters, including the Territorial Sea and the associated Outer Continental Shelf</p>	<p>Applies to sunken State craft of the US and other nations wherever located, including in the Contiguous Zone and the associated Outer Continental Shelf</p> <p>The SMCA applies to US and foreign craft located in U.S. waters, including the Contiguous Zone and the associated Outer Continental Shelf</p>	<p>Applies to sunken State craft of the US and other nations wherever located, including in the EEZ the associated Outer Continental Shelf</p> <p>The SMCA applies to US and foreign craft located in U.S. waters, including the EEZ and the associated Outer Continental Shelf</p>	<p>Applies to sunken State craft of the US and other nations wherever located, including in the High Seas and The Area</p> <p>The SMCA applies to US craft wherever located including the High Seas, The Area, and maritime zones of foreign nations.</p>	

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<p><b>* U.S. state waters and submerged lands typically extend seaward to 3 nm of their coastline, with the exception of Texas, Puerto Rico, and the Gulf Coast of Florida, which extend to 9 nm. A state's coastline and corresponding seaward boundary are generally ambulatory; however, the seaward limit can be fixed by U.S. Supreme Court decree.</b></p>								
<p><a href="#">Abandoned Shipwreck Act of 1987</a> (43 USC 2101 <i>et seq.</i>)</p> <p><a href="#">Abandoned Shipwreck Act Guidelines</a> (55 FR 50116, 55 FR 51528, 56 FR 7875)</p>	<ul style="list-style-type: none"> <li>Asserts federal title to three categories of abandoned shipwrecks in or on state submerged land, and transfers that title to the respective state or territory, except for shipwrecks on federal and Indian land that are the property of the federal and Indian land owners.</li> <li>Provides that the maritime law of salvage and the common law of finds do not apply to shipwrecks subject to the Act.</li> <li>Encourages states to provide all interest groups access to shipwrecks for recreation, education, tourism, biological sanctuaries, historical research, and appropriate public and private sector recovery.</li> <li>Encourages states to create underwater parks to protect shipwrecks.</li> <li>Encourages states to manage shipwrecks in accordance with the Act and its advisory guidelines.</li> </ul>	Applies to abandoned shipwrecks as defined in the ASA that are in state submerged lands.	Applies to abandoned shipwrecks as defined in the ASA that are in state submerged lands.	Not applicable outside the Submerged Lands of U.S. States and territories	Not applicable outside the Submerged Lands of U.S. States and territories	Not applicable outside the Submerged Lands of U.S. States and territories	Not applicable outside the Submerged Lands of U.S. States and territories	
<p><a href="#">Antiquities Act of 1906</a> (16 USC 431 <i>et seq.</i>)</p> <p>43 CFR Part 3 36 CFR Part 79</p>	<ul style="list-style-type: none"> <li>Protects historic and prehistoric ruins and monuments and objects of antiquity on federally owned or controlled land.</li> <li>Regulates scientific research through a permit system.</li> <li>Requires that recovered artifacts are deposited in qualified repositories accessible to the public.</li> <li>Establishes criminal sanctions imposed upon violators.</li> <li>Authorizes the creation of national monuments by Presidential proclamation.</li> <li>Largely superseded by the Archaeological Resources Protection Act in 1979 but still applies in Monuments and other Federal marine protected areas where lands are owned or controlled by the Federal Government.</li> </ul>	Applies in Monuments and other Federal MPAs where lands are owned or controlled by Federal Government	Applies in Monuments and other Federal MPAs where lands are owned or controlled by Federal Government	Applies in Monuments and other Federal MPAs where lands are owned or controlled by Federal Government	Applies in Monuments and other Federal MPAs where lands are owned or controlled by Federal Government	Applies in Monuments and other Federal MPAs where lands are owned or controlled by Federal Government	Not applicable in The Area or High Seas as they are beyond the control and jurisdiction of any nation	
<p><a href="#">Archaeological Resources Protection Act of 1979</a> (16 USC 470aa <i>et seq.</i>)</p> <p>43 CFR Part 7 36 CFR Part 79, 296 32 CFR Part 229 18 CFR Part 1312</p>	<ul style="list-style-type: none"> <li>Protects archeological resources at least 100 years of age and of archeological interest located on public lands (e.g., Department of the Interior marine protected areas) and Indian lands</li> <li>Regulates scientific research through a permit system.</li> <li>Requires that artifacts recovered from federal land remain federal property and are deposited in qualified repositories except for artifacts subject to the Native American Graves Protection and</li> </ul>	Applies to archaeological resources in internal waters that are on public lands and Indian lands	Applies to archaeological resources on state waters that are on public lands and Indian lands	Not applicable on the OCS.	Not applicable on the OCS.	Not applicable on the OCS.	Not applicable outside U.S., except Section 6(c), the trafficking provision, which has been applied in certain circumstances when archaeological resources looted	

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<p>(Enacted as <a href="#">Title XIV of P.L. 108-375, 28 Oct 2004</a>)</p> <p><a href="#">32 CFR 767</a></p> <p><a href="#">NOAA Navy Interagency Agreement</a></p>	<p>confirms that sunken U.S. military craft are sovereign property of the United States regardless of their location or the passage of time.</p> <ul style="list-style-type: none"> <li>The U.S. Navy, Army, Air Force, and the Department under which the U.S. Coast Guard operates are all authorized by the SMCA to issue regulations for permitting activities directed at sunken military craft under their purview.</li> </ul>							
<p><a href="#">1986 RMS Titanic Maritime Memorial Act (16 USC 450rr)</a></p> <p><a href="#">Agreement Concerning the Shipwrecked Vessel RMS Titanic</a> (Signatories: <a href="#">UK 2003</a>, <a href="#">US 2004</a>)</p> <p><a href="#">Guidelines for Research, Exploration and Salvage of RMS Titanic</a> (66 FR 18905, 65 FR 35326)</p> <p><a href="#">International Maritime Organization (IMO) Circular on RMS Titanic Maritime Memorial</a> (MEPC.1/Circ.779, Jan. 31, 2012)</p> <p><a href="#">Consolidated Appropriations Act, 2017 (Public Law 115-31) Section 113</a></p>	<ul style="list-style-type: none"> <li>1986 Act encourages the US Department of State, in consultation with NOAA, to negotiate an international agreement with the United Kingdom, France, Canada, and other interested nations to recognize RMS <i>Titanic</i> as an international maritime memorial, protect it from unscientific salvage and preserve its cultural, and historical significance.</li> <li>It also encourages NOAA in consultation with DOS and others to develop guidelines for the conduct of research, exploration, and, if appropriate, salvage of the RMS <i>Titanic</i>.</li> <li>The IMO Circular on <i>Titanic</i>, issued in response to a request from NOAA, the U.S. National Park Service, the U.S. Coast Guard, strongly recommends that vessels take a number of protective measures when operating within 10 nm or 15 nm up-current of specified coordinates surrounding the wreck. These measures include refraining from discharge garbage, blackwater, and other discharges, where to drop ballast and what type of ballast materials should be used, refrain from installing or placing plaques, fixtures, etc. on the wreck, and complying with existing IMO standards.</li> <li>Section 113 of the Consolidated Appropriations Act, 2017, prohibits any person from conducting "any research, exploration, salvage, or other activity that would physically alter or disturb the wreck or wreck site of the RMS <i>Titanic</i> unless authorized by the Secretary of Commerce per the provisions of the Agreement Concerning the Shipwrecked Vessel RMS</li> </ul>	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable	<p><b>The 1986 Act, Agreement, Guidelines, and 2017 Act</b> apply to <i>Titanic</i>, her cargo and other contents, including those items which are scattered on the ocean floor in her vicinity, and any portions of the hull</p> <p><b>IMO Circular</b> includes measures for vessels to take when operating within 10 nm or within 15 nm up-current of specified coordinates surrounding the wreck.</p>	

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	<p>Titanic. The Secretary of Commerce shall take appropriate actions to carry out this section consistent with the Agreement."</p> <ul style="list-style-type: none"> <li>Under Article 4 of the Agreement, each party is to take "appropriate actions" to enforce measures taken pursuant to the Agreement against its nationals and vessels flying its flag and to prohibit activities in its territory including its maritime ports, territorial sea, and offshore terminals, that are inconsistent with the Agreement.</li> </ul>							

**Table 2: Authorities Applicable to Activities that May Indirectly Affect Cultural Resources in U.S. Lands/Waters and in The Area/High Seas**

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<a href="#">Coastal Zone Management Act (16 USC 1451 et seq.)</a> 15 CFR Parts 923, 930	<ul style="list-style-type: none"> <li>Encourages U.S. coastal states and territories to manage their coastal zones consistent with federal CZMA standards.</li> <li>Provides grants to states that develop and implement federally approved coastal management programs. For states that identify cultural heritage as state resources, the grants and plans may be used for their management such as the designation of shipwrecks as areas of particular concern.</li> <li>States with federally approved coastal management programs may review federal actions that have reasonably foreseeable effects on any coastal use or resource of the state's coastal zone. Such federal action must be consistent with the enforceable policies of the state's coastal management program.</li> </ul>	Applies to cultural heritage in or on State submerged lands and internal waters provided they are within the boundaries of state coastal zone and identified as state resources	Applies to cultural heritage in or on State submerged lands and Territorial Sea provided they are within the boundaries of state coastal zone and identified as State resources	Not applicable except that Federal Consistency may be triggered if the Federal actions may have reasonably foreseeable effects on coastal uses or resource(s) of the State's coastal zone, including cultural resources	Not applicable except that Federal Consistency may be triggered if the Federal actions may have reasonably foreseeable effects on coastal uses or resource(s) of the State's coastal zone, including cultural resources	Not applicable except that Federal Consistency may be triggered if the Federal actions may have reasonably foreseeable effects on coastal uses or resource(s) of the State's coastal zone, including cultural resources	Not applicable except that Federal Consistency may be triggered if the Federal actions may have reasonably foreseeable effects on coastal uses or resource(s) of the State's coastal zone, including cultural resources	Not applicable outside the OCS and EEZ
<a href="#">National Environmental Policy Act of 1969 (42 USC 4321 et seq.)</a> 40 CFR Parts 1500-1508	<ul style="list-style-type: none"> <li>Requires federal agencies to include in their decision-making processes appropriate and careful consideration of all environmental effects of proposed actions, analyze potential environmental effects of proposed actions and their alternatives, avoid or minimize adverse</li> </ul>	Applies to major federal actions on State submerged lands and internal waters	Applies to major federal actions on State submerged lands and Territorial Sea	Applies to major federal actions on the outer continental shelf and in the Territorial Sea	Applies to major federal actions on the outer continental shelf and in the Contiguous	Applies to major federal actions in the EEZ that significantly affect the environment	Executive Order 12114, <a href="#">Environmental Effects Abroad of Major Federal Actions</a> , may apply in high	

**Table 2: Authorities Applicable to Activities that May Indirectly Affect Cultural Resources in U.S. Lands/Waters and in The Area/High Seas**

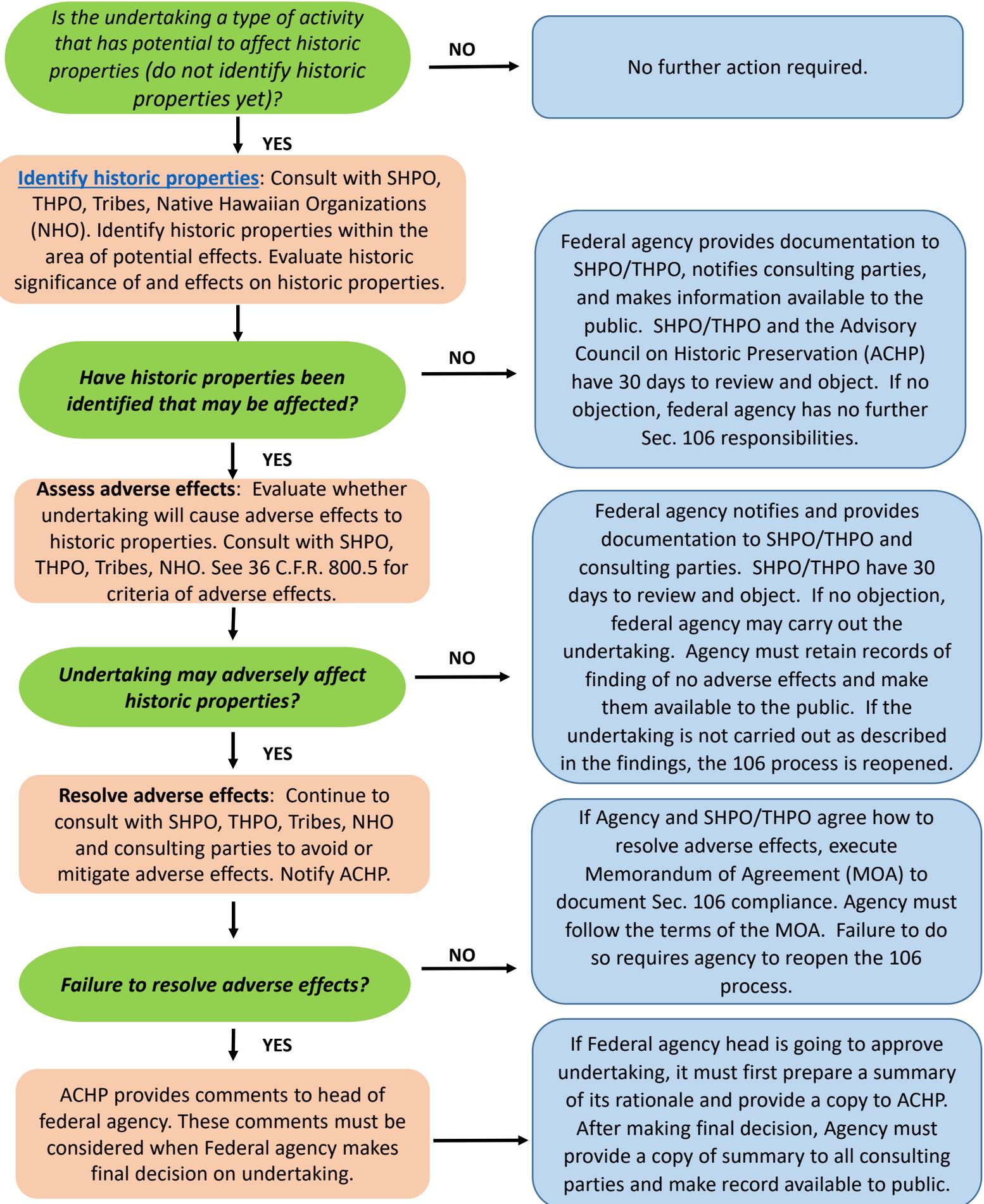
Authority	Provisions and Constraints Relevant to Cultural Heritage	Waters (U.N. Law of the Sea Convention)	U.S. Internal Waters (<0 nm baseline) (e.g., bays, estuaries)	U.S. State Waters (0 - 3 nm)*	U.S. Territorial Sea (0 - 12 nm)*	U.S. Contiguous Zone (12 - 24 nm)	U.S. EEZ (3 - 200 nm)	High Seas (beyond 200 nm EEZ)
		Submerged Lands (seabed)	U.S. State Submerged Lands (inland)	U.S. State Submerged Lands (0 - 3 nm)*	U.S. OCS (3 - 12 nm)*	U.S. OCS (3 - 200 nm)*	U.S. OCS (3 - 200 nm)*	"The Area" (beyond OCS and EEZ)
<p>* U.S. state waters and submerged lands typically extend seaward to 3 nm of their coastline, with the exception of Texas, Puerto Rico, and the Gulf Coast of Florida, which extend to 9 nm. A state's coastline and corresponding seaward boundary are generally ambulatory; however, the seaward limit can be fixed by U.S. Supreme Court decree.</p>								
	effects of proposed actions, and restore and enhance environmental quality to the extent practicable.					Zone	including cultural resources	seas and Area
<p><a href="#">National Historic Preservation Act of 1966</a> (16 USC 470 <i>et seq.</i>)</p> <p>36 CFR Parts 60, 61, 63, 65, 73, 78, 79, 800</p> <p><a href="#">Secretary of the Interior's Standards and Guidelines for Archeology and Historic Preservation</a></p> <p><a href="#">ACHP Guidance: Working with §106</a></p>	<ul style="list-style-type: none"> <li>Establishes the National Register of Historic Places (NRHP) composed of districts, sites, buildings, structures and objects significant in American history, architecture, archeology, engineering and culture (which generally requires that properties are 50 years of age or older).</li> <li>Requires federal agencies to implement comprehensive historic preservation programs.</li> <li>Assists states, territories, local governments, and Indian tribes in implementing comprehensive historic preservation programs.</li> <li>Requires federal agencies to consider the effects of proposed federal, federally assisted or federally licensed projects on properties that meet the NRHP criteria.</li> <li>Provides authority to withhold confidential information when deemed necessary to protect properties.</li> </ul>	Applies to federal undertakings in internal waters that affect historic properties including SCR that are listed or eligible for the NRHP	Applies to federal undertakings in the Territorial Sea that affect historic properties including SCR that are listed or eligible for the NRHP	Applies to federal undertakings in the Territorial Sea that affect historic properties including SCR that are listed or eligible for the NRHP	Applies to federal undertakings in the Contiguous Zone that affect historic properties including SCR that are listed or eligible for the NRHP	Applies to federal undertakings in the EEZ that affect historic properties including SCR that are listed or eligible for the NRHP	Application in The Area is determined by the legislation authorizing the federal undertaking. Note: Section 402 NHPA applies in foreign countries, World Heritage Sites and listing of cultural heritage sites in other countries akin to the NRHP	
<p><a href="#">Rivers and Harbors Act of 1899</a> (33 USC 401 <i>et seq.</i>)</p> <p>33 CFR Parts 325, 330</p>	<ul style="list-style-type: none"> <li>Regulates dredge and fill activities in navigable waters through a permit system that may include conditions to protect or mitigate damage to cultural resources that meet the criteria for listing on the National Register of Historic Places.</li> </ul>	Applies in internal navigable waters to dredge and fill activities, including those that may affect SCR	Applies in the Territorial Sea to dredge and fill activities, including those that may affect SCR	Applies in the Territorial Sea to dredge and fill activities, including those that may affect SCR	Was determined applicable in Bright Bank case exploring enforcement action against treasure hunters who used a drilling rig on Outer Continental Shelf	Was determined applicable in Bright Bank case exploring enforcement action against treasure hunters who used a drilling rig on Outer Continental Shelf	Not applicable outside the EEZ and Outer Continental Shelf	
<p><a href="#">Laws and rules of U.S. states (including territories and possessions of the United States) on public property, antiquities, and shipwrecks</a></p>	<ul style="list-style-type: none"> <li>Each state has its own laws and rules relating to its public property, antiquities, and shipwrecks in or on its lands and submerged lands.</li> <li>While all coastal states have shipwrecks in their waters, only about half the states have legislation or programs that specifically address some aspect of SCR and only nine states have full-time programs dedicated to SCR.</li> <li>Typically, multiple agencies are given responsibilities with a marine resources or submerged lands agency of ten</li> </ul>	Applies to SCR in internal waters that are on state owned land	Applies to SCR from 0 - 3 nm (with exceptions) in the Territorial Sea that are on state owned land	Not applicable	Not applicable	Not applicable	Not applicable	

**Table 2: Authorities Applicable to Activities that May Indirectly Affect Cultural Resources in U.S. Lands/Waters and in The Area/High Seas**

Authority	Provisions and Constraints Relevant to Cultural Heritage	Waters (U.N. Law of the Sea Convention)	U.S. Internal Waters (<0 nm baseline) (e.g., bays, estuaries)	U.S. State Waters (0 - 3 nm)*	U.S. Territorial Sea (0 - 12 nm)*	U.S. Contiguous Zone (12 - 24 nm)	U.S. EEZ (3 - 200 nm)	High Seas (beyond 200 nm EEZ)
		Submerged Lands (seabed)	U.S. State Submerged Lands (inland)	U.S. State Submerged Lands (0 - 3 nm)*	U.S. OCS (3 - 12 nm)*	U.S. OCS (3 - 200 nm)*	U.S. OCS (3 - 200 nm)*	"The Area" (beyond OCS and EEZ)
<p>* U.S. state waters and submerged lands typically extend seaward to 3 nm of their coastline, with the exception of Texas, Puerto Rico, and the Gulf Coast of Florida, which extend to 9 nm. A state's coastline and corresponding seaward boundary are generally ambulatory; however, the seaward limit can be fixed by U.S. Supreme Court decree.</p>								
	<p>having primary authority and the historic preservation agency serving as a technical advisor.</p> <ul style="list-style-type: none"> <li>About 22 states allow commercial salvage of historic shipwrecks although most do not allow it within state underwater parks and preserves and some do not allow it on properties listed or eligible for the NRHP.</li> </ul>							
<a href="#">Admiralty Jurisdiction and the Maritime Law of Salvage</a>	<ul style="list-style-type: none"> <li>Provides authority for salvage awards to persons who rescue vessels in marine peril and return the vessels or their cargo to the stream of commerce.</li> <li>By federal courts in Admiralty Jurisdiction Art 3.2 Constitution</li> <li>Designed for application to recent maritime casualties but applied to SCR.</li> <li>Lacks uniform requirement to comply with archeological standards or consider the historical importance of the SCR.</li> </ul>	<p>May apply to SCR in internal waters that if SCR is not subject to the ASA, ARPA, AA, SMCA or NMSA</p>	<p>May apply to SCR in State submerged lands and waters if SCR is not subject to the ASA, ARPA, AA, SMCA or NMSA</p>	<p>May apply to SCR in Territorial Sea if SCR is not subject to the AA, SMCA or NMSA</p>	<p>May apply to SCR in Contiguous Zone and the Outer Continental Shelf if SCR is not subject to the AA, SMCA or NMSA</p>	<p>May apply to SCR in EEZ and the Outer Continental Shelf if SCR is not subject to the AA, SMCA or NMSA</p>	<p>May apply to SCR in high seas and The Area if SCR is not subject to the SMCA</p>	

# Federal MPAs: Process for Section 106 National Historic Preservation Act

Requires federal agencies to take into account the effects of their undertakings on historic properties. More info [here](#).



# Climate Change Adaptation



A shell midden on the Rappahannock River erodes around a culvert built to divert rain water into the river. This site has never been formally documented. (*Leslie Reeder-Myers*)

Heritage sites are often the primary resource for understanding how humans responded to past climatic regimes, but are sometimes omitted from plans for mitigating adverse climate impacts. With renewed awareness of how climate change may impact cultural heritage, managers can take proactive steps to minimize the impact of climate change on their resources. Some expected changes include:

- **Sea level rise** will flood cultural resource sites in coastal floodplains and make other sites more susceptible to damage.
- **Increased coastal erosion** brought about by higher sea-levels, increased storminess, and greater climatic extremes may expose or inundate heritage resources.
- **Changes in temperature and precipitation patterns** may require improved infrastructures for enclosed cultural resources, especially historic sites, archives, and museum collections to adapt to hotter, colder, rainier, or more humid weather.
- **Increased extreme weather events** may cause significant damage to fragile heritage resources due to increased mechanical weathering or direct storm destruction.
- **Rising ocean temperatures, changing oceanic circulation, and ocean acidification** will have repercussions for communities that rely on marine resource for their heritage and regional identity. Also, altering the range of certain species (e.g. *Teredo navalis*, which consumes wood)

may have devastating consequences for submerged heritage resources such as historic shipwrecks.

## Opportunities and Obligations



Caption: This 180-year-old bronze pintle (ship rudder hardware) shows an older dark and grainy accretion surface (buried in sediment), as well as more recent bright sand scour weathering patterns (exposed) due to a changed sediment transport regime. These types of artifacts can be physical records of changing hydrodynamic/sedimentation regimes, changes that can greatly accelerate deterioration. (NOAA ONMS)

Today, climate change threatens to destroy information about how people, past and present, have adapted to changes in their environment. In many societies, traditional knowledge and native language associated with the physical ethnographic resources are not always in written form, and instead are passed from generation to generation through oral tradition and expressive culture, such as song, dance, and music. Without intervention, such attributes may also be at risk of permanent loss because of possible population displacement or loss of the people possessing such knowledge. Resource managers need to consider the implementation of appropriate strategies regarding the protection or preservation of ethnographic resources within the context of climate instability. Moreover, such resources may serve as indicators of change or clues for protecting key ecological and cultural features within a traditional maritime cultural landscape.

Many historic and prehistoric cultural resources, in addition to their inherent value to the people and cultures connected to them, have scientific value as repositories of historical ecological data. These sites and records tell the stories of the ecological impacts of past peoples, and the ways that societies have adapted to past climate change.

## Methods and Approaches



The 2012 First Stewards Symposium at the National Museum of the American Indian brought together tribal leaders, tribal and Western scientists, and agency representatives from all US regions who examined how native people and their cultures have adapted to climate change for hundreds to thousands of years, and what their future—and that of America—may hold as the impacts of climate change continue. (NOAA ONMS)

MPA managers may already be following [Climate Smart Conservation](#) principles in their management of natural resources. Such steps are highly compatible with managing the ethnographic resources of the MPA, which have cultural value to particular ethnic or social groups. These often include traditional ecological knowledge, resource use, archival data, genealogical information, family history, oral histories.

Other cultural resources, such as archaeological sites, submerged vessels, prehistoric rock art, historic and prehistoric structures, and/or museum collections, are fixed in place and derive much of their significance from the place in which they originated. Most are nonliving and have unique qualities. While their capacity to adapt to changing environments and conditions are limited, managers can implement "no-regret" strategies to minimize climate change impact.

### **Scenario planning**

Scenario planning offers an approach for long-term strategic planning in situations with uncertainty and risk, in which managers consider a variety of options for the future and develop responses and action plans for each situation. It has been employed in business situations, and is now being used by conservation managers facing uncertain climate change. Scenario planning does not attempt to predict the most likely future but uses "what if" questions to explore a range of plausible multiple working futures and consider appropriate actions within them, including adaptive management strategies.

### **Vulnerability Assessment and Adaptation Strategies**

The first step is to include cultural heritage resources within your own site's resource inventory, and to conduct a [vulnerability assessment](#) for heritage resources within your site's planning for climate change. Cultural sites, including ethnographic resources, must be fully documented, with prioritization given to those at high risk. For those identified as vulnerable, managers can work closely with partners to determine the resources' significance while specifying a course of action. These actions may include relocation, protection in place, research before loss, or no action. In many cases, effective strategies have a low cost of implementation and would significantly improve our ability to accommodate future climate changes.

### **No Regret" Strategies**

"No regrets" strategies are those that will benefit the site and potentially other sectors, regardless of climate impacts. These are also strategies that will not divert resources away from other priorities because they have a low to medium cost of implementation. "No regrets" strategies for the protection of cultural heritage resources may apply to the underwater resources within the MPA boundaries, the associated museums, archives, visitor centers, and the cultural knowledge relevant to the MPA.

Examples of these strategies include:

#### **1. Structural Reinforcement**

When cost effective, improving the structural stability, water drainage systems, and weather proofing of Cultural Resources sites will extend the lifetime of the site and may improve the functionality of the site.

#### **2. Locate and Record Sites in Vulnerable Areas**

Many coastal or recently submerged cultural heritage sites have never been recorded. Surveys, especially at low tide, are a cost effective means of collecting basic information before it is lost.

#### **3. Prioritize Study of Vulnerable Sites**

Identifying and focusing research efforts on those historical, archaeological and ethnographically significant sites that are most vulnerable to incurring irreversible damage due to effects associated with climate change should not adversely affect other sites.

#### 4. Maintain and Increase Climate Control Capabilities

Increasing temperature and humidity monitoring capabilities at Museums and Archives, where records pertaining to the MPA's Cultural Heritage are kept, will help maintain archives, and increase year round usage of the site.

#### 5. Maintain and Develop Emergency Management Plans

Most Cultural Resource sites do not have emergency management plans and would benefit from strengthening and broadening existing plans to include heritage resources.

#### 6. Increase Site Monitoring

Understanding certain climate change effects on heritage resources will demand increased study of site change over time (changing status of resources), which should not adversely affect other sites.

#### 7. Communication

Encouraging dialogue between climate impacts and human interaction will be needed to respond to any threats from climate change: every place has a climate story such as climate impacts to cultural resources that involve change at the human scale, past human interaction with climate variability, origins of modern climate situation, traditional ecological knowledge. Capturing this knowledge through oral histories will preserve a key cultural resource of the MPA.

Climate Change Effect	Example Strategies for protecting cultural heritage resources
Sea Level Rise	<ol style="list-style-type: none"><li>1. Structural reinforcement if affordable</li><li>2. Prioritize study of vulnerable sites</li><li>3. Relocate threatened objects if culturally acceptable and affordable</li></ol>
Coastal Erosion	<ol style="list-style-type: none"><li>1. Structural reinforcement</li><li>2. Prioritize study of vulnerable sites</li><li>3. Relocate or recover threatened significant properties</li></ol>
Temperature and Precipitation Change	<ol style="list-style-type: none"><li>1. Maintain and increase climate control capabilities in museums, visitor centers, and archives</li><li>2. Create additional climate controlled cultural resources for visitors</li></ol>

Extreme Weather Events	<ol style="list-style-type: none"> <li>1. Structural reinforcement</li> <li>2. Prioritize study of vulnerable sites</li> <li>3. Maintain and develop emergency management plans</li> <li>4. Relocation of threatened objects when culturally acceptable</li> </ol>
Rising Ocean Temperatures, Changing Circulation, and Ocean Acidification	<ol style="list-style-type: none"> <li>1. Increase site monitoring</li> <li>2. Prioritize study of vulnerable sites</li> <li>3. Relocate threatened objects when culturally acceptable</li> </ol>

**Case Studies**

[Ancient archaeological sites threatened by climate change in California](#)

[Cultural Resources Inventory and Vulnerability Assessment in Alaska](#)

# Disaster Preparedness



## Safety first and foremost!

Does your managing agency already have a disaster plan? Does this plan consider or include cultural resources protective and response measures? If not, take these steps:

1. Identify important landscape features:
  - The known record: types of historic properties (submerged and terrestrial archaeological sites, historic structures and buildings, tribal, cultural or historic landscapes, traditional cultural properties, and locations possessing religious and/or cultural significance to communities).
  - Past and/or ongoing archaeological, historic, and ethnographic investigations used to identify significant properties and communities that have cultural connections to specific properties, landscapes, or exploitation and/or extraction of resources (fisheries, plants, etc.).
2. Once constituent communities (both indigenous and later post-contact groups) have been identified, managers need to foster personal connections with these communities. Improved and honest communication facilitates improved identification and, ultimately management, of important and/or significant historic properties. It enables managers to identify individuals and/or community organizations that can be drawn upon during the planning process and the subsequent response.

3. Identify qualified individuals (staff or local community) that can be drawn upon following the disaster to assess and document impacts to known historic properties and document newly identified features and/or historic properties and their settings.

Plan components:

1. Pre-disaster preparation and activities;
2. Post-disaster response (assessment of impacts to known historic properties; identification, documentation, and assessment of impacts to newly discovered features and/or properties);
3. Remedial actions needed to protect a historic property, minimize and/or mitigate adverse impacts. Such actions will depend upon property type, location, extent of damage, and community input and involvement. Mitigation and resiliency planning is now a major part of this. Funds for planning on non-federal lands are available through FEMA's Hazard Mitigation Grant Program (HMGP), as well as resources to plan for the securing and protection of vital data and resources prior to and during the response to disasters.

No plan will cover every eventuality. Flexibility is imperative, as is knowing and working with the various communities who consider these historic properties, landscapes, and places as integral to their identity and livelihood.

# Engagement, Outreach & Interpretation



Chumash interpreter telling the story of Syuxtun Story Circle in Santa Barbara, CA. (NOAA ONMS)

Stakeholder engagement refers to a variety of ways in which protected area managers reach out to those interested in or affected by protected area management to inform and involve them in management issues. Engagement can be thought of along a spectrum of involvement, from simple awareness to active participation in management activities (such as through citizen science). Examples of engagement strategies include:

- Communication (e.g., media, social media, websites)
- Signage
- Experiential activities (e.g. guided snorkeling or dive trips; other field trips)
- Advisory bodies (e.g., Sanctuary Advisory Councils)
- Community volunteers (e.g. volunteer docents)
- Citizen science (e.g., volunteer monitoring)
- "Friends of.." groups

## Opportunities and Obligations



Building remotely operated vehicles (ROVs) with students is a great way to introduce cultural resources in MPAs, as well as marine technology career paths. (NOAA ONMS)

Engaging with stakeholders is a critical element of successful management of protected areas. Managers engage stakeholders for a wide variety of reasons, from raising awareness about the existence or conservation goals of an MPA to recruiting volunteers and citizen scientists. Managers of marine cultural resources have a special opportunity when engaging the public, as these resources have the potential to capture the imagination and connect people to their heritage. Key objectives for engaging stakeholders include:

- Increase awareness and raise visibility of the MPA
- Enhance understanding and support for the MPA's purpose and resources
- Sustain formal and/or informal communication and collaboration with community members
- Encourage stewardship behaviors
- Enable others to help advance MPA objectives
- Instill community ownership and pride in the MPA

## Methods and Approaches



Public meetings and advisory bodies enable constituents to play an active role in MPA management. (NOAA ONMS)

A handbook developed by the University of Michigan in collaboration with NOAA Marine Protected Areas Center, [Engaging Communities in Marine Protected Areas](#) (PDF) describes principles for MPA managers in engaging communities:

- be proactive
- be clear about purposes and terms
- understand, validate and speak to the community's concerns
- start early, with clear expectations
- be responsive
- be inclusive
- build on common needs and goals
- recognize that it all begins with relationships

## Related Topics

[Tribal consultation](#) is a formal means of communication between federal agencies and the government of a federally-recognized tribe that reflects the United States' recognition of the sovereignty of federally-recognized tribes. This process is used to exchange information, deliberate, and address federal policies that have tribal implications. As such, this process is distinct from stakeholder engagement, and entails unique legal issues. In many coastal areas, original tribes continue to live in their traditional homelands and are state-recognized, but not federally-recognized. While the *obligation* to have formal consultation refers only to federally-recognized tribes, federal agencies may find it productive to enter

into informal consultative relationship with non-federally recognized tribes and tribal communities who continue to carry multi-generational knowledge of their cultural landscapes. For guidance regarding indigenous peoples, which includes non-federally recognized tribes, see [United Nations Declaration on the Rights of Indigenous Peoples](#).

[NOAA Procedures for Government to Government Consultation with Federally Recognized Tribes](#)

## **Case Studies**

[38th Voyage of Whaleship \*Charles W. Morgan\*](#)

[The Chincoteague Wreck Tagging Program](#)

[Community-based Tools for U.S. Marine Protected Area Planning and Management](#)



# Tribal and Indigenous Communities



Caption: Chumash annual crossing to Santa Barbara Channel Islands. Credit: Robert Schwemmer, NOAA

The federal government has a unique relationship with American Indians, Native Hawaiians, Native Pacific Islanders, Alaska Natives, and native peoples from U.S territories and its protectorates based in law and supported by a shared commitment to the stewardship of land and marine resources. This legal relationship is supplemented by cultural, historical, and spiritual relationships that these groups have with near-shore and coastal lands, fringing and barrier reefs, fishing and gathering locations, vistas, and marine and terrestrial resources. For most indigenous peoples, this sense of place with respect to their traditional homelands and associated resources has particular importance in defining cultural identity and well-being.

Areas in MPAs or being considered for them often include the traditional lands and waters of indigenous peoples. These waters include marine resources that native people use for subsistence, medicinal, and other purposes. They also include tangible and intangible cultural resources of spiritual, religious, and historic importance. MPA planners, researchers and managers must properly inform, engage and work with affected or potentially affected tribal and indigenous groups per [U.S. government policy](#) and [international recognition](#) of the rights of indigenous peoples.

## Opportunities and Obligations



Canoe journey group. Credit: NOAA ONMS

Some indigenous peoples have the legal status of federal recognition by the United States. Regardless of legal status, it is essential to properly consult and build a relationship with any indigenous group with ancestral ties to the resources that may be included in or impacted by an MPA. Proponents of MPAs and managers should identify affected or potentially affected groups and properly inform, engage and work with them. Genuine and robust engagement with affected indigenous communities can significantly enhance the effective management of marine resources. Engagement and consultation should occur throughout the pre-planning, planning, designation, management, monitoring and evaluation phases of MPA development, and when done properly will result in a fruitful relationship with an MPA's indigenous caretakers. Whether MPAs are designated by federal, state, tribal or local governments, MPA managers should make protection of indigenous peoples' historical and legal rights a priority.

Proper consultation with recognized tribal and indigenous groups is required by federal, and sometimes state and local, policy. Tribal consultation goes beyond simple compliance as it also is a matter of human rights. Many native tribes and indigenous communities may not be federally or state recognized. However, recognition status does not negate these groups' sovereignty, interests in ancestral territory and resources, or the validity of traditional knowledge and cultural practices. Even when formal consultation is not required, agencies and managers should follow best practices that are critical and necessary for meaningful and effective engagement, collaboration, and coordination.

Indigenous peoples often have an intimate and historical knowledge of place that can substantially benefit MPA planning and management. Incorporating multiple voices and perspectives has numerous benefits: it can enable the integration of natural and cultural resource management, increase the likelihood that cultural heritage resources will be identified and protected, and improve effective management of an MPA's natural resources.

MPA managers should consider [intellectual property and sensitive information](#) when working and consulting with indigenous peoples. Knowledge developed and managed by indigenous peoples' is their legal intellectual property, and is often sacred. Native participants must give free, prior and informed consent (preferably in writing) before voluntarily and knowingly sharing traditional knowledge. MPA managers should also explain that due to the legal obligations of the Freedom of Information Act and other laws, agencies may not be able to protect or otherwise keep knowledge shared by indigenous peoples confidential. Therefore, MPA managers should work closely and transparently with their native partners to identify means of protecting indigenous intellectual property and be diligent about informing their partners when confidentiality is not possible.

Other important topics that may arise when engaging tribal and indigenous peoples include [community and stakeholder engagement](#) and [climate change adaptation](#).

## Methods and Approaches



Caption: Canoes along the coast of Hawaii. Credit: Hawaii Tourism Authority

Good consultation is part of an overall process of building long-term relationships between the two parties, so methods to sustain those relationships through times of changing leadership should be paramount. Especially important is clear and frequent communication from initial contact to conclusion. In addition, any tribal consultation official or leader of an operating unit, as applicable, should make a reasonable (or good faith) attempt to accommodate a tribal request for consultation.

Tribes and indigenous communities are not just another stakeholder group, but have unique and important legal and cultural status that requires additional engagement outside a typical stakeholder engagement process. Building such respectful relationships is essential to the long-term success of place-based management.

Proper consultation begins with identifying authorized representatives and making contact through one or more of the following media: letters, e-mails, webinars, conference calls, on-site visits, or participation in regional and national events. While informal meetings and communications will necessarily precede a formal consultation, a formal consultation is best conducted in person with representatives duly appointed by the participating heads of governments unless the tribe agrees to a reduced level of formality.

Recent court decisions have emphasized not only the importance of frequent and regular consultation, but most importantly *meaningful* consultation. The basic elements of the federal consultation process are described in the [NOAA Tribal Consultation Policy](#). They may be applicable to or complement state, local or other consultation policies.

The following general guidelines are recommended as a starting point for effective consultation:

- Consultation should *begin early* in the process, such that indigenous peoples have a meaningful opportunity to engage in the decision making process and any document or policy development;
- *Communication* should continue regularly throughout the development process and management activities. Managers should consider developing a communication plan with the indigenous peoples as a means of achieving a shared understanding regarding planning and development.
- Both sides should clearly articulate *the roles and responsibilities* of all parties and be accountable for those roles and responsibilities.
- Managers should work to be as *transparent* as possible throughout the process.
- Managers should develop an on-going relationship with indigenous groups that results in an *effective exchange of data and information*, taking into consideration the need to protect sensitive information.
- Agencies should *provide adequate financial resources* to the consultation process.
- The agency should *keep a proper and thorough administrative record* of all actions.

Special procedures are shown in Section 8 of the [NOAA Policy](#) for consultation with Alaska Native Corporations, and Section 9 addresses implementation.

## Case Studies and Further Information

[Olympic Coast National Marine Sanctuary's Intergovernmental Policy Council](#)

A Guidance Document for Characterizing Hawaiian Cultural Landscapes

<https://www.boem.gov/BOEM-2017-023/>

Characterizing Tribal Cultural Landscapes, Volume 1: Project Framework

<https://www.boem.gov/BOEM-2017-001-Volume-1/>

A Handbook for Integrating NEPA and Section 106

[https://ceq.doe.gov/docs/ceq-publications/NEPA\\_NHPA\\_Section\\_106\\_Handbook\\_Mar2013.pdf](https://ceq.doe.gov/docs/ceq-publications/NEPA_NHPA_Section_106_Handbook_Mar2013.pdf)

Consultation with Native Hawaiian Organizations

<https://doi.opengov.ibmcloud.com/sites/doi.opengov.ibmcloud.com/files/uploads/Native%20Hawaiian%20Consultation%20Handbook.pdf>

Tribal Consultation, NHTHPO

[https://www.nps.gov/thpo/downloads/NATHPO\\_Best\\_Practices.pdf](https://www.nps.gov/thpo/downloads/NATHPO_Best_Practices.pdf)

Aloha Aina Guidance Document

[https://hawaiihumpbackwhale.noaa.gov/council/council\\_aloha\\_aina\\_guidance.html](https://hawaiihumpbackwhale.noaa.gov/council/council_aloha_aina_guidance.html)

# Olympic Coast National Marine Sanctuary's Intergovernmental Policy Council



Caption: The four Washington coastal treaty tribes have managed the area's resources for millennia, and continue to today. (Joe Schumacker)

The Olympic Coast National Marine Sanctuary (OCNMS) is a large MPA of approximately 2,500 square miles adjacent to the Olympic Peninsula of Washington State encompassed by the treaty ocean areas of four coastal tribes: The Makah, Quileute and Hoh Tribes and the Quinault Indian Nation. Each of these tribes was instrumental in the designation of the OCNMS in 1994. The designation Charter clearly noted that the new Sanctuary would in no way interfere with treaty harvest of fisheries resources within that area.

Prior to 2007, tribal representation consisted of participation on the Sanctuary Advisory Council (SAC), a stakeholder group representing various governments and interests in the Sanctuary area. In 2000, the SAC formed a Marine Conservation Working Group (MCWG) to identify areas of biological importance on the OCNMS shoreline. The OCNMS contracted a scientist to walk the shoreline of the Sanctuary and describe intertidal habitats and species present. Results were brought to the MCWG regularly where they were examined by representatives from the SAC, including some tribal technical staff. In March 2001 the MCWG formed a Technical Panel of experts to meet at the University of Washington and

examine the coastline data. At that meeting, they created recommendations for "Proposed No-Take Marine Reserve Areas" specific to sections of the coast considered biologically important or unique. No tribal representation was invited to or present at that workshop.

At a public meeting held shortly after, maps were displayed of the Olympic Peninsula coast that highlighted areas proposed for no-take status as determined by the Technical Panel. These included a number of areas within the reservation boundaries of the Quinault Indian Nation and the Hoh, Quileute and Makah Tribes, all of which were important commercial or subsistence harvest areas.

Presenting maps showing proposed no-take areas within their reservation and off-reservation treaty harvest areas without proper consultation was a major concern to the tribes involved with this case. A contractor surveying their respective reservation coastlines without tribal permissions was also another significant issue.

Repercussions of these actions by the OCNMS lasted over five years as the coastal tribes and NOAA conducted a series of meetings and legal reviews to fulfill the federal government's trust responsibilities with the treaty tribes. In January 2007 the four coastal treaty tribes, the State of Washington and NOAA formally created the Intergovernmental Policy Council (IPC), a policy-level forum for the co-managers of the resources within the boundaries of the OCNMS.

The IPC is now the primary forum for OCNMS-Tribal interaction. It does not, however, replace individual tribal government-to-government consultation when requested. The successful resolution of this issue by NOAA's Office of National Marine Sanctuaries serves as an example for other federal agencies. The creation of the IPC with its commitments from tribal governments, state government and NOAA is a potential model for other regions where MPAs and their management intersect with tribal and indigenous sovereignty.

The lessons of this case study are:

- Tribes are not best represented in "stakeholder" forums. They are sovereigns and, in many cases, resource managers with a depth of knowledge and experience about MPAs that needs to be included in studies and decisions about the marine resources associated with them.
- Managers must identify proper tribal representatives to inform and consult with on a regular basis. It is incumbent on managers to contact tribal leadership to determine with whom they should engage.
- Tribes should have open invitations to attend workshops related to projects that may affect them, and be invited to comment, present and share knowledge at these events.
- Technical representation by tribes is not policy representation and it should not be assumed that because technical staff is advised, tribal leadership is.
- A rule of tribal consultation is best summarized as "early and often." To avoid breaches of trust that may take years to mend, consult early and often.
- Coordination and communication should take place on a regular basis, whether an undertaking is identified or not.
- Creation of policy level forums with tribal representation, distinct from stakeholder groups, will foster trusted relationships and avoid potential conflicts.

# Intellectual Property and Sensitive Information



Caption: Memorial Post at the mouth of the Salmon River, to honor the Neschesne people and the village that stood there. Carved by Grand Ronde artist, Travis Stewart. *(Travis Stewart)*

MPA managers are responsible for the protection of sensitive information and intellectual property. Disclosure of information such as site location, ownership data, and site characteristics has the potential to jeopardize cultural resources. Legal mechanisms such as the Archaeological Resources Protection Act (ARPA), the National Historic Preservation Act (NHPA), and the Freedom of Information Act (FOIA) provide protections for sensitive information.

Intellectual Property (IP) refers to creations of the human mind and IP rights protect the rights of creators over their creations. IP is generally understood within the framework of the Western legal system and concepts of individual property. Legal mechanisms are available to protect IP such as copyrights, trademarks, patents, and trade secrets. However, these mechanisms are often insufficient for protecting collective or community-based IP which is created and maintained through a transgenerational process of information exchange and "owned" by the community rather than by

individuals. This type of IP is prevalent within the realm of cultural resources management. Some examples include art, language, symbols, music, oral narratives, and traditional knowledge.

Responsible cultural resources management requires a comprehensive understanding of where IP rights and sensitive information may be at risk and the mechanisms available for protecting both tangible and intangible forms of information, knowledge, and cultural expressions.

## Opportunities and Obligations



Various aspects of cultural resources may constitute sensitive information, including their technique, location, and/or significance. *(NOAA ONMS)*

An awareness of IP and sensitive information reinforces a sound conservation ethic and supports compliance with federal mandates, customary laws, and international conventions. MPA managers face tensions between their public education requirements and issues of confidentiality, resource or stakeholder protection, and tribal rights protection. Inappropriate use or release of information and knowledge can inflict harm on both resources and people. For example, disclosure of site location may lead to vandalism, and release of information on traditional practices may jeopardize a community's ability to conduct such practices, or result in exploitation of tribal culture. International conventions exist to protect indigenous peoples and traditional knowledges from exploitation.

By virtue of their role as stewards of our cultural and natural resources, MPA managers must be diligent in the area of information management. In recent years, cultural heritage management has evolved to encompass relationships between cultural landscapes and related information, knowledge and cultural expressions, resulting in expanded protection of information beyond simple sight locations. Much of this work is grounded in evolving concepts of IP and sensitive information management. Successful resource management requires support from various stakeholders such as tribal communities, fishers, divers, academics, landowners, etc. Poor or careless information management can jeopardize relationships between MPA managers and stakeholders. Strategies that acknowledge the IP rights and contributions of stakeholders foster good relations and enrich MPAs by way of enhanced data, improved management techniques, and shared stewardship.

## Methods and Approaches



Caption: Wabanaki baskets. (*Bonnie Newsom*)

Sound IP and sensitive information protection and management strategies are multi-faceted and should blend legal and non-legal approaches. An effective strategy for addressing IP and sensitive information issues is a management plan that incorporates mechanisms for preventing IP rights violations and provides procedures to protect sensitive information against compromise. An ongoing tribal consultation and community engagement agenda is essential to this approach. Through this process, management can support equitable terms of research, guidance, and policies that address both tangible

and intangible cultural heritage. Protocols and agreements can be useful tools in defining how information and knowledge is used, controlled and accessed, to the benefit of all stakeholders.

Management should also have a firm understanding of legal mechanisms available for protecting and managing IP and sensitive information. Legal tools such as copyrights, trademarks, and patents can serve to protect IP. However, these laws have limited applicability for community-based or collectively-owned knowledges. Additionally, federal laws such as ARPA, NHPA and FOIA are useful tools for protecting sensitive information in the federal arena. Each offers specific protections for categories of cultural resources-related information such as site location or ownership data.

## Research, Monitoring and Evaluation



Caption: Tribal members share information about nearshore traditional resources in 2003 at Crescent Bay, Olympic National Park, WA. (*Jacilee Wray*)

Effectively recognizing and understanding cultural resources within a management area can be achieved through community engagement, research and data collection. Submerged archaeological sites, as part of a region's maritime cultural landscape, can be a reflection of international, national, regional, or localized habitation, commerce, industry, immigration, transportation, naval actions, and sacred areas. Researching the cultural history of an area and the interconnections between water- and land-based human activity can elucidate both broad historic patterns and specific activities that may help identify and contextualize individual archaeological sites or culturally sensitive areas.

[Archaeological data collection](#) augments research through diver reconnaissance or the use of specialized remote-sensing equipment to locate and study submerged sites. Newly-discovered cultural resources should be inventoried and investigated to compile baseline data regarding their location, extent,

condition, age, purpose, identity (in the case of vessel remains), and cultural affiliation. Cultural heritage research also involves sociocultural, socioeconomic, and political variables of the communities. [Ethnographic and other social scientific research](#) is typically used to understand these dimensions of MPA use, especially where native peoples are involved. The primary techniques for acquiring ethnographic data are through observation and interviews.

Periodic monitoring should be implemented to understand and track changes and impacts over time, especially in regions that experience regular industrial expansion, recreational activities, and/or consistent marine traffic.

## Opportunities and Obligations



NOAA archaeologists use towboards to search for shipwrecks such as the British whaler *Gledstanes* in the Papahānaumokuākea Marine National Monument. (*NOAA ONMS*)

Developing research to build data sets for historical context and reported sites can aid in understanding and identifying an area's cultural resources and is a crucial step in detection and preservation. Data collection is part of the discovery effort and can also define areas that are not cultural sites. Knowledge of both site presence and absence is important in developing contextual information for defining culturally sensitive areas. Data collection is instrumental in recording discovered sites, their attributes, history, location, and condition. Criteria outlined in the [National Register of Historic Places](#) can be used

to help determine significance, and may also be augmented by other additional designations at the state level that can aid in long-term preservation of sites.

Monitoring is the most effective method for studying changes to a submerged site over the course of its lifetime. [Impacts, both natural and man-made](#), constitute what is referred to as a site's "formation processes." Systematic monitoring can potentially identify the factors that influence a site's degradation so that preventative measures can be implemented.

By understanding the local sociocultural and socioeconomic context in which marine resources are used, planning and programming for conservation can be achieved through stakeholder engagement. A number of critical [socioeconomic and cultural indicators](#) are often measured, analyzed, and monitored.

## Methods and Approaches



Caption: Training and collaborating with volunteer stewards can be crucial for collecting data, and serve a dual purpose for public outreach and education. (NOAA ONMS)

Developing historic, ethnographic, and archaeological contexts involves research, database management, and effective file management. Geospatial databases, such as ArcGIS, are invaluable tools for creating summary research files that can include diverse and extensive information. Developing such data sets and the related research can be time consuming and sometimes outside of the scope of

existing responsibilities. In such cases utilizing volunteer stewards and interns can be crucial for assimilating data, and serves a dual purpose for public [outreach and education](#).

Archaeological data collection and monitoring will vary based on the [geophysical setting of the site](#). Attributes such as visibility, depth, salinity, temperature, and associated biota all affect site preservation and protection potential. Data collection and monitoring is effected through [remote-sensing survey methods](#) as well as dive investigations and mapping.

[Data collection and analysis of sociocultural and socioeconomic phenomena](#) may involve both quantitative and qualitative methods, including direct or participatory observation, open-ended interviews, semi-structured interviews, and focus groups.

The evaluation of cultural resources can include federal and/or state designations of significance, including the framework established by the [National Historic Preservation Act](#) and its [supplemental bulletins](#) that offer additional guidance for specialized topics. For assistance in [National Register nominations](#), and to learn of individual state designations, please contact your [State Historic Preservation Officer](#).

## **Case Studies**

[Texas Historical Commission's Research, Monitoring, and Evaluation Efforts](#)

# Resources

## A Cultural Landscape Approach

[Native American Traditional Cultural Landscapes Action Plan](#) (Advisory Council on Historic Preservation (ACHP), 2011)

[Cultural Landscapes Inventory Professional Procedures Guide](#). (National Park Service, 2009)

[Characterizing Maritime Cultural Landscapes from First Principles: Cultural Landscape Approaches and Maritime Cultural Landscapes](#). Valerie Grussing, Delivered at the Maritime Cultural Landscape Symposium, Oct. 14-15 University of Madison-Wisconsin.

[The Unseen Landscape: Inventory and Assessment of Submerged Cultural Resources in Hawai'i](#) (BOEM, 2017)

[The Maritime Cultural Landscape](#). (Westerdahl, Christer, 1992) *International Journal of Nautical Archaeology* 21.1: 5-14.

## Legal Framework

[Federal Submerged Cultural Resource Laws](#)

[Submerged Cultural Resource Laws by State](#)

## Research

[Monitoring Guidelines \(New York Archaeological Council\)](#)

[Developing Archaeological Site Stewardship Programs \(National Park Service\)](#)

[Descent into Darkness – Exploring Gulf of Mexico Shipwrecks](#)

## National Register of Historic Places

[National Register of Historic Places](#)

[Nominating Historic Vessels and Shipwrecks to the National Register \(National Park Service\)](#)

[How to Complete the Nation Register Registration Form \(National Park Service, 1997\)](#)

## **Climate Change**

[MPA Center Climate webpage](#)

[Climate Change and Stewardship of Cultural Resources Policy](#) (National Park Service Memorandum, Feb. 2014).

[Climate Change Response Program Cultural Resource Brief](#) (National Park Service, March 2013)

[Cultural Resources Climate Change Strategies](#). National Park Service, 2016

[Cultural Resources Impacts Table](#). National Park Service, 2016

## **Tribal/Indigenous Resources**

[Guidelines for Native American Monitors/Consultants](#) (Native American Heritage Commission)

[A Guidance Document for Characterizing Tribal Cultural Landscapes](#) (BOEM, 2015).

[A Guidance Document for Characterizing Native Hawaiian Cultural Landscapes](#) (BOEM, 2017).

[Consultation with Indian Tribes in the Section 106 Review Process: a Handbook](#). (Advisory Council on Historic Preservation, ACHP, 2008).

Developing Protocols for Reconstructing Submerged Paleocultural Landscapes and Identifying Ancient Native American Archaeological Sites in Submerged Environments: Best Practices (BOEM 2018).

[NOAA Procedures for Government to Government Consultation with Federally Recognized Tribes](#)

[EPA Policy on Consultation and Coordination with Indian Tribes](#)

[A `Ikena I Kai \(Seaward Viewsheds\): Inventory of Terrestrial Properties for Assessment of Marine Viewsheds on the Main Eight Hawaiian Islands](#) (BOEM, 2017)

[A Guidance Document for Characterizing Native Hawaiian Cultural Landscapes](#) (BOEM, 2017)

## **Underwater Archeology**

[Nautical Archaeology Society](#)

[American Academy of Underwater Sciences](#)

[Advisory Council on Underwater Archaeology](#)

[Maritime Archaeological and Historical Society](#)

[NOAA Maritime Heritage Program](#)

[National Park Service Submerged Resources Center](#)

Florida Public Archaeology Network

### **Intellectual Property**

[Indigenous Peoples and Intellectual Property](#) (Graham and McJohn, Washington University Journal of Law and Policy, January 2005).

[Seeking consent for research with indigenous communities: a systematic review](#), [BMC Med Ethics](#). 2016; 17: 65.

(See also resources under Outreach)

# Glossary

**Archaeological data collection:** Coastal geography and water conditions can make discovery of cultural resources challenging; a diverse array of field methods have been developed and refined over the years to increase detection.

**Climate Smart Conservation principles** were created by the National Wildlife Federation help practitioners and policy-makers how to develop and implement conservation that understands climate and climate change. The four overarching principles include:

- Act with intentionality through linking actions to impacts
- Manage for change, not just persistence
- Reconsider goals, not just strategies
- Integrate adaptation into existing work

A **cultural landscape** is a place where the intersection of culture and nature leaves a distinct ecological or cultural imprint.

**Cultural Landscape Approach:** an analytical framework for understanding the ways in which specific cultural and environmental processes overlap and influence one another. In many ways, a cultural landscape approach is analogous to ecosystem-based management – it is a holistic way of looking at places, people and how they form and change one another. This approach can help identify ecological and cultural connections among different sites, resources and protected areas over time.

**Cultural Resources** are related to cultural identity, such as religious, food and burial practices. These resources may also be nautical (related to ships, vessels and watercraft) and/or maritime (related to human seafaring). (See [“What Are Cultural Resources?”](#))

**Data collection and analysis of sociocultural and socioeconomic phenomena** may involve both quantitative and qualitative methods, including direct or participatory observation, open-ended interviews, semi-structured interviews, and focus groups. Quantitative data is typically collected through household socioeconomic surveys. In addition, qualitative data may be gathered in recorded interviews through note-taking or using either video or voice recorders. Cognitive Anthropological studies typically focus on cultural domains. The methods used to collect systematic data for these analyses include free lists, sentence frames, triad tests, pile sorts, and paired comparisons. More advanced methods may involve componential analysis, folk taxonomies, and ethnographic decision model.

**Ethnographic and other social scientific research** typically involves the observation of and interaction with persons or a group being studied in the group's own environment, often for long periods of time. It is the systematic study of people and living cultures, and is designed to explore cultural phenomena where the researcher observes society from the point of view of the subject of the study. An ethnography is a means to represent graphically and in writing the culture of a group. The resulting field study or a case report reflects the knowledge and the system of meanings in the lives of a cultural

group. An ethnography records all observed behavior and describes all symbol-meaning relations, using concepts that avoid causal explanations.

**Ethnohistorical** is the study of cultures and indigenous peoples' customs by examining historical records as well as written documents, oral narrative, material culture, and ethnographic data.

**Heritage sites** are places containing historic and prehistoric cultural resources, are of inherent value to the people and cultures connected to them, and have scientific value as repositories of historical ecological data.

**Impacts, both natural and human-made:** Examples of naturally occurring events that can alter a submerged site include storm activities, scouring, erosion, burial, and habitat creation. Human-made impacts can be severe and encompass diver-related artifact recovery, vessel collision, fishing, pollution, salvage, navigation obstruction removal, and construction activities such as channel dredging.

**Intellectual Property (IP)** refers to creations of the human mind and IP rights protect the rights of creators over their creations.

**Marine Protected Area** means means any area of the marine environment that has been reserved by Federal, State, territorial, tribal, or local laws or regulations to provide lasting protection for part or all of the natural and cultural resources therein (Executive Order 13158).

**Maritime heritage** is the wide variety of tangible and intangible elements (archaeological, cultural, historical) which represent our human connections to our Great Lakes and ocean areas.

**Maritime heritage resources** are those elements of our connection to our Great Lakes and ocean areas that should be preserved for future generations.

**"No Regret" Strategies** are those that will benefit the site and potentially other sectors, regardless of climate impacts. These are also strategies that will not divert resources away from other priorities because they have a low to medium cost of implementation.

**Remote-sensing surveys** are the standard means for detecting new sites, and these typically utilize a magnetometer (detects ferrous metal) and side-scan sonar, the latter of which produces a sonar image akin to a photographic record. A sub-bottom profiler can also be used, which records submerged geophysical attributes such as geological strata river channels and can also detect buried structures. Other enhanced imagery collection instrumentation includes sector-scan, multi-beam, and BlueView sonar acquisition systems.

**Sensitive information** refers to information that has the potential to jeopardize cultural resources such as site location, ownership data, and site characteristics.

**Site attributes affecting preservation and protection potential:** High visibility marine environments present greater ease in detection, investigation, and monitoring but can also present greater challenges in protection and preservation. Inversely, sites in low visibility or backwater environments can be difficult to detect and monitor but are afforded perhaps greater protection as the environment

indirectly conceals them. Saltwater shipwreck sites, through consumption by the shipworm (*teredo navalis*), can be largely degraded or semi-buried whereas freshwater sites typically have better preservation and can be more recognizable as an archaeological site.

**Socioeconomic and cultural indicators** are often measured, analyzed, and monitored. Examples include: local marine resource use patterns, local values and beliefs about marine resources, level of understanding of human impacts to resources, perceptions of seafood availability, perceptions of local resource harvest, perceptions of non-market and non-use value, material style of life, quality of human health, household income distribution by source, household occupational structure, community infrastructure and business, number and nature of markets, stakeholder knowledge of natural history, distribution of formal knowledge to community, percentage of stakeholder group in leadership positions, and changes in conditions of ancestral and historical sites/features/monuments.

**Stakeholder engagement** refers to the variety of ways in which protected area managers reach out to those interested in or affected by protected area management to inform and involve them in management issues.

**Tribal consultation** is a formal means of communication between federal agencies and the government of a federally-recognized tribe that reflects the United States' recognition of the sovereignty of federally-recognized tribes. This process is used to exchange information, deliberate, and address federal policies that have tribal implications. As such, this process is distinct from stakeholder engagement, and entails unique legal issues.

**Traditional ecological knowledge**, also called by other names including Indigenous Knowledge or Native Science (TEK), refers to the evolving knowledge acquired by indigenous and local peoples over hundreds or thousands of years through direct contact with the environment. This knowledge is specific to a location and includes the relationships between plants, animals, natural phenomena, landscapes and timing of events that are used for lifeways, including but not limited to hunting, fishing, trapping, agriculture, and forestry. TEK is an accumulating body of knowledge, practice, and belief, evolving by adaptive processes and handed down through generations by cultural transmission, about the relationship of living beings (human and nonhuman) with one another and with the environment. It encompasses the world view of indigenous people which includes ecology, spirituality, human and animal relationships, and more.

**Vulnerability assessment** is a way to evaluate the implications of climate change for the habitats of marine protected areas, allowing managers to engage with science and encourage the creation of adaptation strategies to reduce the vulnerabilities identified. It can be modified to assess the vulnerability of any aspect of mpa management.